TRANSMITTAL FORM	Application No.	10/053,540			
	Filing Date	November 2, 2001 RECEIV		VED.	
(to be used for all correspondence after initial	First Named Inventor	Suzy Brown			
		Group Art Unit	Unassigned	SEP 1 1 7	003
		Examiner Name	Unassigned	Technology Ce	nter 2600
Total Number of Pages in This Submission	5	Attorney Docket Number	6326P005	Tournaide de la constant de la const	
ENCLOSURES	(che	ck all that apply)			
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Fee Transmittal Form	Drawing(s)	After Allowance Communication to Group			
Fee Attached	Licensing-related Papers	Appeal Communication to Board of Appeals and Interferences			
Amendment / Response	Petition	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final Affidavits/declaration(s)	Petition to Convert a Provisional Application	Proprietary Information			
Extension of Time Request	Power of Attorney, Revocation Change of Correspondence Address	Status Letter			
Express Abandonment Request	Terminal Disclaimer	Other Enclosure(s) (please identify below):			
Information Disclosure Statement	Request for Refund	7 Cited References Including Search Report			
PTO/SB/08  Certified Copy of Priority Document(s) ·-	CD, Number of CD(s)				
Response to Missing Parts/					
Incomplete Application  Basic Filing Fee	Remarks				
Declaration/POA					
Response to Missing Parts under 37 CFR 1.52 or 1.53					
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Firm Tarek N. Fahm	i, Reg. No. 41,402				
Individual name BLAKFILY, SOKOLOFF, TAYLOR & ZAFMAN LLP					
Signature 1/2.					
Date 95/03					
CERTIFICATE OF MAILING/TRANSMISSION					
Total assistations of the Revision of the Revi					
Typed or printed name   Caprie Boccaccini					
Signature Date 9/5/					

Based on PTO/SB/21 (03-03) as modified by Blakely, Solokoff, Taylor & Jaiman (wtr) 08/11/2003 SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



Docket No.: 6326P005

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

SUZY BROWN, ET AL.

Application No.: 10/053,540

Filed: November 2, 2001

For: Method and Apparatus for Associating the

Movement of Goods with the Identity of an

**Individual Moving the Goods** 

Art Group: Unassigned RECEIVED

Examiner: Unassigned SFP 1 1 2003

Technology Center 2600

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

The references were cited in a Search Report dated June 12, 2003 (copy enclosed herewith) from a foreign patent office in a counterpart PCT application.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: 9503

Tarek N. Fahmi, Reg. No. 41,402

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 (408) 947-8200

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Carrie Boccaccini

-2- 6326P005

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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	Co	mplete if Known	
	Application Number	10/053,540	-
	Filing Date	November 2, 2001	
	First Named Inventor	Suzy Brown	
	Art Unit	Unassigned	
_	Examiner Name	Unassigned	
	Attorney Docket Number	6326P005	

			U.S. PATE	NT DOCUMENTS	
Examiner	Cite	Document Number	Publication Date or Issue Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		US-6,012,041	01-04-2000	Brewer et al.	
		US-5,038,023	08-06-1991	Saliga	
		US-4,661,806	04-28-1987	Peters et al.	RECEIVED
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	FOREIGN PATENT DOCUMENTS					
Examiner	Cite	Foreign Patent Document			Pages, Columns, Lines,	7.
Initials*	No.¹	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	7-
		EP 0 494 114 A	07-08-1992	Marsh		
	<u> </u>	GB 2 342 208 A	04-05-2000	Ogasawara		
	<u> </u>	WO 89/05549	06-15-1989	Brooks et al.		
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\*Examiner. Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.

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## **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

Sheet 2 of 2

Co	omplete if Known
Application Number	10/053,540
Filing Date	November 2, 2001
First Named Inventor	Suzy Brown
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	6326P005

		OTHER ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		PCT International Search Report for PCT/US 01/51184, mailed June 12, 2003, 7 pages.	
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<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>&#</sup>x27;Applicant's unique citation designation number. 'Applicant is to place a check mark here if English language Translation is attached.